

# PATENT

In re Application of:

Ralf Uwe Krauklis

Serial No. 09/464,021

Filed: December 15, 1999

**For: System and Method for  
Managing a Scalable List of  
Items for Display**



Group Art Unit: 2721

Examiner: Unknown

Atty. Dkt. No.: 5181-53800

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner of Patents and Trademarks, Washington, D.C. 20231, on the date indicated below:

B. Noël Kivlin  
~~Name of Registered Agent~~

9-10-00  
Date

Signature \_\_\_\_\_

TRANSMITTAL

Assistant Commissioner  
for Patents  
Washington, D.C. 20231

Dear Sir:

Enclosed herewith is the following with regard to the above-identified application:

- (a) An Assignment of the invention executed by the inventor(s).
- (b) A combined Declaration and Power of Attorney executed by the inventor(s)
- (c) A check in the amount of \$1,008.00 to cover the filing fee for large entity (\$760.00), additional claims (\$78.00), assignment (\$40.00), and surcharge for late filing of oath or declaration for large entity (\$130.00).
- (d) A copy of Notice to File Missing Parts of Application Filing Date Granted.

- (e) A return postcard to acknowledge receipt of these materials. Please stamp and return this postcard to the undersigned.

If any fees are inadvertently omitted or if any additional fees are required or have been overpaid, please appropriately charge or credit those fees to Conley, Rose & Tayon, P.C. Deposit Account Number 03-2769/5181-53800/BNK.

Respectfully submitted,



B. Noël Kivlin  
Reg. No. 33,929  
Attorney for Applicants

Conley, Rose & Tayon, P.C.  
P.O. Box 398  
Austin, Texas 78767-0398  
Ph: (512) 476-1400

Date: 4-10-00

SECTOR

IN THE  
UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF: Peter Cobbledick

ATTORNEY DOCKET NO.: 490-131

SERIAL NO.: 09/512,860

FILED ON: 02/24/2000

FOR: DISPLAY FRAME



Art Group Unit: 3628

SUBMISSION OF MISSING  
PARTS AND CLAIM FOR  
PRIORITY

TO: ASSISTANT COMMISSIONER OF PATENTS  
WASHINGTON, D.C. 20231

Dear Sirs:

[X] AUTHORIZATION TO PAY AND PETITION FOR THE ACCEPTANCE OF ANY NECESSARY FEES: If any charges or fees must be paid in connection with the following Communication (including but not limited to the payment of issue fees), they may be paid out of our deposit account No. 12-0064. If this payment also requires a Petition, please construe this authorization to pay as the necessary Petition which is required to accompany the payment.

[ ] Applicant herewith petitions the Commissioner of Patents and Trademarks to extend the time for response to the Office Action dated \_\_\_\_\_ for \_\_\_\_\_ month(s) from \_\_\_\_\_ to \_\_\_\_\_. Submitted herewith is check No. \_\_\_\_\_ for \$\_\_\_\_\_ to cover the cost of the extension. If a check is lost, or otherwise does not accompany this Petition, please charge my deposit account number 12-0064 in the appropriate amount to cover the cost of the extension. Any deficiency or overpayment should be charged or credited to the above numbered deposit account.

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231 on:

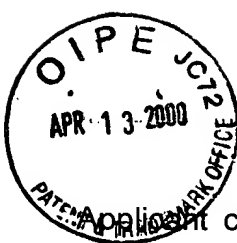
Date: 4-10-2000

Signature: *Joyce Pusk*  
Print: Joyce Pusk

**REGISTRATION**

Charles A. Laff	19787
J. Warren Whitesel	16830
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Jeffrey H. Canfield	38404

LAFF, WHITESEL & SARET, LTD.  
401 N. Michigan Avenue, Suite 1700  
Chicago, IL 60611-2412  
Telephone: (312)661-2100 Facsimile: (312) 661-0029



Attorney Docket No.: 490-131  
Serial No.: 09/512,860

Applicant claims all priority rights under 35 USC 119 and submits herewith a certified copy of United Kingdom patent GB 9904110.5 filed February 24, 1999 in support thereof.

In response to the Notice to File Missing Parts mailed March 24, 2000 (copy enclosed), applicant submits the enclosed Declaration for Patent Application and Small Entity Declarations for Independent Inventor and Small Business Concern.

Also enclosed is our check No. \_\_\_\_\_ in the amount of \$65.00 to cover the small entity fee.

Respectfully submitted,

Dated: April 7, 2000

J. Warren Whitesel  
Registration No. 16830  
LAFF, WHITESEL & SARET, LTD  
401 North Michigan Avenue, Suite 1700  
Chicago, Illinois 60611  
Telephone: (312) 661-2100  
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## FORMALITIES LETTER



\*OC000000005007929\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/512,860	02/24/2000	Peter Cobble Dick	490-131

Laff Whitesel & Saret LTD  
401 North Michigan Avenue  
Chicago, IL 60611-4212

Date Mailed: 03/24/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

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64/17/2000 05:12:53

01 FEB 03



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/464,021 12/15/99 KRAUKLTS R 5161-53800

B NOEL KIVLIN  
CONLEY ROSE & TAYTON  
P O BOX 398  
AUSTIN TX 78767

02627-0208

2721

DATE MAILED:

02/08/00

### NOTICE TO FILE MISSING PARTS OF APPLICATION Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☐ non-small entity is \$ 768.

☒ 1. The statutory basic filing fee is:

- ☒ missing.  
☐ insufficient.

Applicant must submit \$ 760 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. The following additional claims fees are due:

\$ \_\_\_\_\_ for \_\_\_\_\_ total claims over 20.  
\$ 78 for 1 independent claims over 3.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice **MUST** be returned with the reply.

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

00000001 09/15/02

00000001

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78.00 00  
130.00 00